

# Okounkov's conjecture via BPS Lie algebras

Ben Davison  
University of Edinburgh



# Quivers and algebras

## Path algebra

A *quiver* is a pair of (finite) sets  $Q_1, Q_0$  of arrows and vertices, respectively, and morphisms  $s, t: Q_1 \rightarrow Q_0$ . For  $K$  a field, the path algebra  $KQ$  is the  $K$ -algebra with basis given by paths in  $Q$  including paths  $e_i$  of length zero at each  $i \in Q_0$ . Multiplication is given by concatenation of paths.

- The dimension vector of a  $KQ$ -module  $\rho$  is the tuple  $\dim_Q(\rho) := (e_i \cdot \rho)_{i \in Q_0} \in \mathbb{N}^{Q_0}$ .
- The *doubled* quiver  $\overline{Q}$  is obtained by adding an arrow  $a^*$  for each  $a \in Q_1$  and setting  $s(a^*) = t(a)$  and  $t(a^*) = s(a)$ .
- The *preprojective algebra*  $\Pi_Q$  is the quotient  $\mathbb{C}\overline{Q} / \langle \sum_{a \in Q_1} [a, a^*] \rangle$

## Example

Consider the Jordan quiver: . Then  $\mathbb{C}\overline{Q} = \mathbb{C}\langle a, a^* \rangle$  and  $\Pi_Q = \mathbb{C}\langle a, a^* \rangle / \langle [a, a^*] \rangle \cong \mathbb{C}[a, a^*]$ .

## Spaces of representations

- Fix a quiver  $Q$  and dimension vector  $d \in \mathbb{N}^{Q_0}$ . Set

$$\mathrm{Rep}_d(Q) := \prod_{a \in Q_1} \mathrm{Hom}(\mathbb{C}^{d_{s(a)}}, \mathbb{C}^{d_{t(a)}})$$

which is acted on by  $\mathrm{GL}_d := \prod_{i \in Q_0} \mathrm{GL}_{d_i}(\mathbb{C})$ . Then

$\{\mathrm{GL}_d\text{-orbits}\} \stackrel{1:1}{\Leftrightarrow} \{\mathbf{d}\text{-dimensional } \mathbb{C}Q\text{-modules}\} / \sim^{\mathrm{iso}}$

- $\mathrm{Rep}_d(\overline{Q}) \cong T^* \mathrm{Rep}_d(Q)$  admits the (co)moment map  $\mu_{Q,d}$  to  $\mathfrak{gl}_d := \prod_{i \in Q_0} \mathrm{Mat}_{d_i \times d_i}(\mathbb{C})$ :

$$\mathrm{Rep}_d(\overline{Q}) \ni \rho \mapsto \sum_{a \in Q_1} [\rho(a), \rho(a^*)] \in \mathfrak{gl}_d$$

- $\mathrm{Rep}_d(\Pi_Q) := \mu_{Q,d}^{-1}(0) \subset \mathrm{Rep}_d(\overline{Q})$  is the subspace of  $\Pi_Q$ -modules.
- We *could* consider the stack  $\mathfrak{M}_d(\Pi_Q) = \mu_{Q,d}^{-1}(0) / \mathrm{GL}_d$ . It is highly singular, and highly stacky. E.g. for  $Q$  the Jordan quiver  $\mathrm{Rep}_d(\Pi_Q)$  is the stack of pairs of commuting  $d \times d$ -matrices, which at  $(0_{d \times d}, 0_{d \times d})$ , is very singular, with stabilizer  $\mathrm{GL}_d$ .

# Nakajima quiver varieties

## Framed quiver

Let  $f \in \mathbb{N}^{Q_0}$  be a “framing” dimension vector. We define  $Q_f$  by setting

$$(Q_f)_0 := Q_0 \coprod \{\infty\}; \quad (Q_f)_1 := Q_1 \coprod \{r_{i,m} \mid i \in Q_0, 1 \leq m \leq f_i\}.$$

$$s(r_{i,m}) = \infty \text{ and } t(r_{i,m}) = i.$$

## Definition

We define  $N_Q(f, d) := \mu_{Q_f, (d, 1)}^{-1}(0)^{\text{st}} / \text{GL}_d$ . The “st” means we only consider the stable locus: those  $\Pi_{Q_f}$  modules  $\rho$  that are generated by  $\mathbf{e}_\infty \cdot \rho \cong \mathbb{C}$ .

Key fact(s):  $N_Q(f, d)$  is a *smooth variety*.

## Example

Let  $Q$  be the Jordan quiver, set  $f = 1$ . Then  $\overline{Q_f}$  is the ADHM quiver, and  $N_Q(f, d) \cong \text{Hilb}_d(\mathbb{A}^2)$ .

## Some geometric representation theory

Fix a quiver  $Q$  and  $f \in \mathbb{N}^{Q_0}$ . Set  $\mathbb{M}_{Q,f} := \bigoplus_{d \in \mathbb{N}^{Q_0}} H(N_Q(f, d), \mathbb{Q})$

### Theorem (Grojnowski, Nakajima)

Let  $Q = \bullet \curvearrowright$  be the Jordan quiver, and set  $f = 1$ . We've seen that  $N_Q(1, d) \cong \text{Hilb}_d(\mathbb{A}^2)$ . The  $\mathbb{N} = \mathbb{N}^{Q_0}$ -graded vector space  $\mathbb{M}_{Q,1}$  carries an action of an infinite-dimensional Heisenberg algebra  $\text{heis}_\infty$ , and is an irreducible lowest weight module.

Given  $Q$  a quiver *without loops* we may define the Kac–Moody Lie algebra  $\mathfrak{g}_Q \cong \mathfrak{n}_Q^- \oplus \mathfrak{h}_Q \oplus \mathfrak{n}_Q^+$ . The positive part  $\mathfrak{n}_Q^+$  is free Lie algebra generated by one (Chevalley) generator for each  $i \in Q_0$ , subject to the Serre relations.

### Theorem (Nakajima)

Let  $Q$  be a quiver *without loops*. Then  $\mathbb{M}_{Q,f}$  is a  $\mathfrak{g}_Q$ -module, and  $\mathbb{M}_{Q,f}^{\text{lowest}} := \bigoplus_{d \in \mathbb{N}^{Q_0}} H^{\text{lowest}}(N_Q(f, d), \mathbb{Q})$  is an irreducible lowest weight module, with lowest weight dependent on  $f$ .

## Deformations

- Let  $N_Q^0(f, d)$  be the affinization of  $N_Q(f, d)$ . E.g. if  $Q$  is the Jordan quiver and  $f = 1$  then  $N_Q^0(f, d) = \text{Sym}^d(\mathbb{A}^2)$ . In general the morphism  $\pi: N_Q(f, d) \rightarrow N_Q^0(f, d)$  is a resolution of singularities.
- This morphism admits a “universal deformation”:

$$\begin{array}{ccc}
 N_Q(f, d) & \hookrightarrow & \tilde{N}_Q(f, d) \\
 \downarrow \pi & \lrcorner & \downarrow \tilde{\pi} \\
 N_Q^0(f, d) & \hookrightarrow & \tilde{N}_Q^0(f, d) \\
 \downarrow & \lrcorner & \downarrow \\
 \{0\} & \hookrightarrow & \mathbb{A}^{Q_0}
 \end{array}$$

- For generic  $x \in \mathbb{A}^{Q_0}$  the morphism  $\tilde{\pi}_x: \tilde{N}_Q(f, d)_x \rightarrow \tilde{N}_Q^0(f, d)_x$  obtained by base change along  $\{x\} \hookrightarrow \mathbb{A}^{Q_0}$  is an isomorphism of affine varieties.

## Torus action

Let  $f = f' + f''$  be a decomposition of  $f \in \mathbb{N}^{Q_0}$ , with  $f', f'' \in \mathbb{N}^{Q_0} \setminus \{0\}$ . We let  $\mathbb{C}^*$  act on quiver varieties by scaling  $r_{i,1}, \dots, r_{i,f'_i}$  with weight  $\pm 1$ ,  $r_{i,1}^*, \dots, r_{i,f'_i}^*$  with weight  $\mp 1$ , and leaving all other arrows invariant.

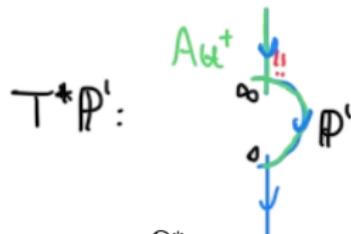
### Proposition

There is an identification  $N_Q(f, d)^{\mathbb{C}^*} = \coprod_{d'+d''=d} N_Q(f', d') \times N_Q(f'', d'')$  given by taking direct sums.

- Define  $\text{Att}^\pm \subset N_Q(f, d)$  to be the subset of  $\rho$  for which  $\lim_{t \rightarrow 0} t \cdot \rho$  exists.

- NB:** the morphism  $\lim_{t \rightarrow 0} (t \cdot -): \text{Att}^\pm \rightarrow N_Q(f, d)^{\mathbb{C}^*}$  might not be continuous!!

- But the morphism  $\lim_{t \rightarrow 0} (t \cdot -): \text{Att}_x^\pm \rightarrow N_Q(f, d)_x^{\mathbb{C}^*}$  is continuous, for generic  $x \in \mathbb{A}^{Q_0}$ .



# Stable envelopes

- For generic  $x$  we consider the closed embedding(s)

$$\begin{aligned} \text{Att}_x^\pm &\rightarrow \tilde{N}_Q(f, d)_{x^{\mathbb{C}^*}} \times \tilde{N}_Q(f, d)_x \\ \rho &\mapsto \left( \lim_{t \rightarrow 0} t \cdot \rho, \rho \right) \end{aligned}$$

- Maulik and Okounkov define

$$\mathcal{L}^\pm = \lim_{x \rightarrow 0} [\text{Att}_x^\pm] \in H_{\mathbb{C}^* \times T}(N_Q(f, d)^{\mathbb{C}^*} \times N_Q(f, d), \mathbb{Q})$$

( $T$  is a choice of extra torus acting by scaling arrows of  $\overline{Q_f}$ )

- The two morphisms defined by these correspondences

$$\text{Stab}^\pm: H_{\mathbb{C}^* \times T}(N_Q(f, d)^{\mathbb{C}^*}, \mathbb{Q}) \rightarrow H_{\mathbb{C}^* \times T}(N_Q(f, d), \mathbb{Q})$$

become invertible after tensoring with  $\text{Frac}(H_{\mathbb{C}^*}) = \mathbb{Q}(a)$ , where  $H_{\mathbb{C}^*} = \mathbb{Q}[a]$  is the  $\mathbb{C}^*$ -equivariant cohomology of a point.

# R-matrices

## Definition

For  $f', f'' \in \mathbb{N}^{Q_0}$  the *R-matrix* is defined as

$$R(a) = (\text{Stab}^-)^{-1} \circ \text{Stab}^+ \in \text{End}_{H_T}(\mathbb{M}_{Q,f'} \otimes_{H_T} \mathbb{M}_{Q,f''}) \otimes \mathbb{Q}(a)$$

## Basic properties

- Expanding in powers of  $a^{-1}$

$$R(a) = \text{id} + \hbar a^{-1} r + \hbar O(a^{-2})$$

where  $\hbar$  is the  $T$ -weight of the symplectic form on  $N_Q(f, d)$  and  $r \in \text{End}_{H_T}(\mathbb{M}_{Q,f'} \otimes_{H_T} \mathbb{M}_{Q,f''})$  is the “classical  $r$ -matrix”. So to get an interesting  $R$ -matrix we *must* pick nontrivial  $T$ .

- The  $R$ -matrix satisfies the Yang-Baxter equation  $R_{12}(a_1)R_{13}(a_1 + a_2)R_{23}(a_2) = R_{23}(a_2)R_{13}(a_1 + a_2)R_{12}(a_1)$ , the fundamental relation in integrable systems, responsible for producing e.g. knot invariants out of quantum groups.

## Yangians

- Given  $g \in \text{End}_{H_T}(\mathbb{M}_{Q,f'})[a]$ , written as  $\langle g_1 | \otimes | g_2 \rangle$  with  $g_2 \in \mathbb{M}_{Q,f'}[a]$  and  $g_1$  in the dual  $\mathbb{M}_{Q,f'}^\vee$ , we define

$$\mathbf{E}_{f''}(g) = \text{Res}_a((\langle g_1 | \otimes -) \circ R \circ (| g_2 \rangle \otimes -)) \in \text{End}(\mathbb{M}_{Q,f''})$$

- MO define  $\mathbf{Y}_Q \subset \bigoplus_{f'' \in \mathbb{N}^{Q_0}} \text{End}(\mathbb{M}_{Q,f''})$  to be the subalgebra generated by all  $\mathbf{E}(g) := \bigoplus_{f'' \in \mathbb{N}^{Q_0}} \mathbf{E}_{f''}(g)$ .
- Similarly, they define  $\mathfrak{g}_Q^{\text{MO}} \subset \bigoplus_{f'' \in \mathbb{N}^{Q_0}} \text{End}(\mathbb{M}_{Q,f''})$  to be vector space generated by  $\mathbf{E}(g)$  with  $g$  constant in  $a$ .

### Theorem (Maulik–Okounkov)

- The  $\mathbb{Z}^{Q_0}$ -graded  $H_T$ -module  $\mathfrak{g}_Q^{\text{MO}}$  is closed under commutator.
- Each summand  $\mathfrak{g}_{Q,d}^{\text{MO}}$  is free of finite rank.
- The morphism  $\text{Sym}(\mathfrak{g}_Q^{\text{MO}} \otimes \mathbb{Q}[a]) \rightarrow \mathbf{Y}_Q$  is an isomorphism.

## A representation theoretic hint

- The morphism

$$\pi: N_Q(f, d) \rightarrow N_Q^0(f, d)$$

is a projective morphism from a smooth variety. So the BBDG decomposition theorem applies, and we can write

$$\pi_* \mathbb{Q}_{N_Q(f, d)}[d] = \mathrm{IC}_{N_Q^0(f, d)} \oplus \dots$$

as a direct sum of perverse sheaves ( $d = \dim(N_Q(f, d))$ ). In particular,  $\mathrm{IH}^*(N_Q^0(f, d)) \subset \mathbb{M}_{Q, f}$

- Lowering operators in  $\mathfrak{g}_Q^{\mathrm{MO}}$  lift to morphisms of perverse sheaves  $\pi_* \mathbb{Q}_{N_Q(f, d)}[d] \rightarrow \pi_*^{\mathbb{C}^*} \mathbb{Q}_{N_Q^{\mathbb{C}^*}(f, d)}[d^{\mathbb{C}^*}]$ .
- So  $\mathrm{IH}^*(N_Q^0(f, d)) \subset \mathbb{M}_{Q, f}$  is a space of lowest weight vectors for support reasons...

# Okounkov's conjecture

## Definition-Theorem (Kac)

For any quiver  $Q$  and dimension vector  $d \in \mathbb{N}^{Q_0}$  there is a polynomial  $a_{Q,d}(t) \in \mathbb{Z}[t]$  (the *Kac polynomial*) such that if  $q = p^n$  is a prime power,

$$a_{Q,d}(q) = \#\{\text{absolutely indecomposable } d\text{-dimensional } \mathbb{F}_q\text{-}Q\text{-modules}\} / \sim^{\text{iso}}$$

## Conjecture (Maulik–Okounkov)

$\exists$  isomorphism of Lie algebras  $\mathfrak{g}_Q^{\text{MO},T} \cong \mathfrak{g}'_Q^{\text{MO}} \otimes \mathfrak{H}_T$  for  $\mathfrak{g}'_Q^{\text{MO}}$  defined over  $\mathbb{Q}$ .

## Conjecture (Okounkov)

There is an equality  $a_{Q,d}(t^{-1}) = \sum_{n \in \mathbb{Z}} \dim(\mathfrak{g}'_{Q,d}{}^{\text{MO},n}) t^{n/2}$ .

- Maulik–Okounkov proved the conjectures when  $Q$  is the Jordan quiver.
- McBreen explicitly described the Yangian in the case  $Q$  an ADE Dynkin diagram, his results imply the conjecture for these quivers.

## Preprojective CoHA

- Define  $\mathcal{A}_{\Pi_Q, d} := H^{\text{BM}}(\mathfrak{M}_d(\Pi_Q), \mathbb{Q})$  and  $\mathcal{A}_{\Pi_Q} := \bigoplus_{d \in \mathbb{N}^{Q_0}} \mathcal{A}_{\Pi_Q, d}$
- We consider the usual correspondence diagram  
$$\mathfrak{M}(\Pi_Q) \times \mathfrak{M}(\Pi_Q) \xleftarrow{\pi_1 \times \pi_3} \mathfrak{E}_{\text{exact}}(\Pi_Q) \xrightarrow{\pi_2} \mathfrak{M}(\Pi_Q)$$
 where  $\pi_n$  maps  $(\rho_1 \rightarrow \rho_2 \rightarrow \rho_3) \mapsto \rho_n$ .
- (Schiffmann–Vasserot, Yang–Zhao): pullback along  $\pi_1 \times \pi_3$  and push forward along  $\pi_2$  yields a morphism  $\mathcal{A}_{\Pi_Q, d'} \otimes \mathcal{A}_{\Pi_Q, d''} \rightarrow \mathcal{A}_{\Pi_Q, d'+d''}$  making  $\mathcal{A}_{\Pi_Q}$  into a  $\mathbb{N}^{Q_0}$ -graded, cohomologically graded algebra.

### Theorem (-, Meinhardt)

There is a Lie sub-algebra  $\mathfrak{n}_{\Pi_Q}^+ \subset \mathcal{A}_{\Pi_Q}$  and a  $H_{\mathbb{C}^*} = \mathbb{Q}[a]$ -action on  $\mathcal{A}_{\Pi_Q}$  such that  $\text{Sym}(\mathfrak{n}_{\Pi_Q}^+ \otimes \mathbb{Q}[a]) \rightarrow \mathcal{A}_{\Pi_Q}$  is a PBW isomorphism.

### Theorem (-)

There is an equality of characteristic functions  $\chi_{t^{1/2}(\mathfrak{n}_{\Pi_Q, d}^+)} = a_{Q, d}(t^{-1})$

Conjecture \*: There is an isomorphism of Lie algebras  $\mathfrak{n}_Q^{\text{MO}, +} \cong \mathfrak{n}_{\Pi_Q}^+ \otimes H_T$ .

## The decomposition theorem

- There is a canonical affinization map  $JH: \mathfrak{M}(\Pi_Q) \rightarrow \mathcal{M}(\Pi_Q)$ , where  $\mathcal{M}(\Pi_Q)$  is the coarse moduli space; points of  $\mathcal{M}(\Pi_Q)$  are in bijection with semisimple  $\Pi_Q$ -modules.
- One definition of  $H^{\text{BM}}(\mathfrak{M}(\Pi_Q), \mathbb{Q})$  is as the derived global sections of the Verdier dual of the constant sheaf  $\mathbb{D}\mathbb{Q}_{\mathfrak{M}(\Pi_Q)}$ .
- Factoring the structure morphism  $\mathfrak{M}(\Pi_Q) \rightarrow \text{pt}$  through  $JH$ , we find  $H^{\text{BM}}(\mathfrak{M}(\Pi_Q), \mathbb{Q}) \cong H(\mathcal{M}(\Pi_Q), JH_* \mathbb{D}\mathbb{Q}_{\mathfrak{M}(\Pi_Q)})$

### Theorem (Decomposition theorem (-))

$JH_* \mathbb{D}\mathbb{Q}_{\mathfrak{M}(\Pi_Q)}^{(\text{vir})} \cong \bigoplus_{n \in 2 \cdot \mathbb{N}} {}^p\mathcal{H}^n(JH_* \mathbb{D}\mathbb{Q}_{\mathfrak{M}(\Pi_Q)}^{(\text{vir})})[-n]$ . *Setting*

${}^p\mathcal{A}_{\Pi_Q}^0 = H(\mathcal{M}(\Pi_Q), {}^p\mathcal{H}^0(JH_* \mathbb{D}\mathbb{Q}_{\mathfrak{M}(\Pi_Q)}^{(\text{vir})})) \subset \mathcal{A}_{\Pi_Q}$ , *we obtain the subalgebra*

$${}^p\mathcal{A}_{\Pi_Q}^0 \cong U(\mathfrak{n}_{\Pi_Q}^+)$$

In (something like) English, the theorem tells us that the BPS Lie algebra can be lifted to an algebra object in the category of perverse sheaves on the coarse moduli space  $\mathcal{M}(\Pi_Q)$ .

## Structure theorem

Let  $Q$  be a quiver and pick  $d \in \mathbb{N}^{Q_0}$  such that there exists a simple  $d$ -dimensional  $\Pi_Q$ -module. Then by the decomposition theorem there is a unique summand

$$H^*(\mathcal{M}_d(\Pi_Q)) \subset \mathfrak{n}_{\Pi_Q, d}^+$$

which is *primitive* (for support reasons).

### Theorem (-, Hennecart, Schlegel-Meija)

*Assume that  $Q$  has no isotropic roots, then  $\mathfrak{n}_{\Pi_Q}^+$  is one half of a generalised Kac–Moody Lie algebra  $\mathfrak{g}_{\Pi_Q}$ , with Chevalley generators given by the above intersection cohomology groups.*

(With isotropic roots the statement is just a little more complicated.)

### Proposition

There is a natural isomorphism  $\mathfrak{n}_{\Pi_{Q_f}, (d,1)}^+ \cong H(N_Q(f, d), \mathbb{Q})$ . Via the isomorphisms  $\mathfrak{g}_{\Pi_Q, \bullet} \cong \mathfrak{g}_{\Pi_{Q_f}, (\bullet, 0)}$  we get a  $\mathfrak{g}_{\Pi_Q, \bullet}$ -action on  $\mathfrak{g}_{\Pi_{Q_f}, (\bullet, 1)} \cong \mathbb{M}_{Q, f}$ .

## The main theorem (with Tommaso Botta)

- For  $(x_i)_{i \in Q_0} \in \mathbb{A}^{Q_0}$  we define the deformed stack  $\mathfrak{M}_d(\Pi_Q)_x$  in analogy with deformed Nakajima quiver varieties  $N_Q(f, d)_x$ .
- For generic  $x$  we have (almost) diagonal embedding  $\Delta_x: N_Q(f, d)_x \hookrightarrow N_Q(f, d)_x \times \mathfrak{M}_{(d,1)}(\Pi_{Q_f})_x$
- We define the nonabelian stable envelope via the correspondence  $\lim_{x \rightarrow 0} [N_Q(f, d)_x] \in H(N_Q(f, d), \mathbb{Q}) \otimes H^{\text{BM}}(\mathfrak{M}_{(d,1)}(\Pi_{Q_f}), \mathbb{Q})$

$$\Psi_f: \mathbb{M}_{Q,f} \rightarrow \bigoplus_{d \in \mathbb{N}^{Q_0}} \mathcal{A}_{\Pi_{Q_f},(d,1)}$$

(Defined also for  $T$ -equivariant versions).

### Theorem (Botta,-)

- The morphism  $\Psi_f$  induces an isomorphism  $\mathbb{M}_{Q,f} \rightarrow \mathfrak{n}_{\Pi_{Q_f},(\bullet,1)}^+$ , sending lowest weight vectors to Chevalley raising operators.
- Both  $\mathfrak{g}_Q^{\text{MO}}$  and  $\mathfrak{g}_{\Pi_Q}$  are realised as Lie subalgebras of  $\bigoplus_{f \in \mathbb{N}^{Q_0}} \text{End}(\mathfrak{n}_{\Pi_{Q_f},(\bullet,1)}^+)$ , and are the same subalgebras  $\Rightarrow$   
*\*+MO+Okounkov conjectures hold.*

Thank you!

That's it, thanks for listening!!