

## Abelian envelopes of exact categories

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### § Exact categories

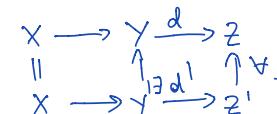
$\mathcal{E}$ -exact category is an additive category  $\mathcal{E}$  with a class  $S$  of conflations, i.e. sequences

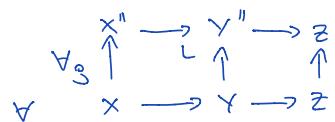
$$X \xrightarrow{i} Y \xrightarrow{d} Z \quad i = \text{ker } d \quad d = \text{coker } i$$

$i$ -inflation,  $d$ -deflation

s.t. 1)  $0 \rightarrow X \xrightarrow{\text{id}_X} X$  conflation

2) composition of 2 deflations is a deflation

3)  $\forall$    $\text{Ext}^L(z, X) \xrightarrow{\text{Hom}_X^L(z, z)} \text{Ext}^L(z', X)$

4)  $\forall$  

Examples:  $\mathcal{A}$  additive  $\mathcal{S}$ -split sequences  $X \rightarrow X \oplus Y \rightarrow Y$   
 $\mathcal{A}$  abelian  $\mathcal{S}$ -short exact sequences

$\mathcal{E}$  cl - fully exact subcategory of an abelian category  
 $\mathcal{E} \subset \mathcal{A}$  full, closed under extensions

$\mathcal{S}$ : short ex seq in  $\mathcal{A}$  with all terms in  $\mathcal{E}$

$$\begin{array}{ccccccc} 0 & \rightarrow & X & \rightarrow & Y & \rightarrow & Z & \rightarrow & 0 \\ & & \uparrow & & \uparrow & & \uparrow & & \\ & & X & \rightarrow & Y' & \rightarrow & Z' & \rightarrow & 0 \\ & & \uparrow & & \uparrow & & \uparrow & & \\ & & X & \rightarrow & Y & \rightarrow & Z & & \end{array}$$

Thm (Gabriel-Quillen): Any exact category  $\mathcal{E}$  is a fully exact subcategory of the abelian category  $\text{Lex}(\mathcal{E}^{\text{op}}, \text{Ab})$  of left exact functors  $\mathcal{E}^{\text{op}} \rightarrow \text{Ab}$  (defined below).

### § Examples of exact categories

$(\mathcal{U}, \Lambda)$ -highest weight category

$\Lambda$ -partial order on simples

$\{S_{\lambda}\}_{\lambda \in \Lambda} \subset \mathcal{U}$  iso-classes of simple

$\{P_{\lambda}\}_{\lambda \in \Lambda} \subset \mathcal{U}$  projective covers,  $\{I_{\lambda}\}_{\lambda \in \Lambda} \subset \mathcal{U}$  injective hulls

$\exists \{\Delta_\lambda\}_{\lambda \in \Lambda}$  standard

$$(1) 0 \rightarrow \{S_{<\lambda}\} \rightarrow \Delta_\lambda \rightarrow S_\lambda \rightarrow 0$$

$$(2) 0 \rightarrow \{\Delta_{>\lambda}\} \rightarrow P_\lambda \rightarrow \Delta_\lambda \rightarrow 0$$

$\{\nabla_\lambda\}_{\lambda \in \Lambda}$  costandard

$$S_\lambda \rightarrow \nabla_\lambda \rightarrow \{S_{<\lambda}\}$$

$$\nabla_\lambda \rightarrow I_\lambda \rightarrow \{\nabla_{>\lambda}\}$$

$\mathcal{F}(\Delta), \mathcal{F}(\nabla) \subset A$  fully exact subcategories

$$\{M \in A \mid \exists \text{ } 0 = M_0 \subset \dots \subset M_n = M \quad M_i/M_{i-1} \cong \Delta_{j(i)}\}$$

A-directed algebras  $1 \xrightarrow{\quad} 2 \xrightarrow{\quad} 3 \xrightarrow{\quad} 4$

$$(\text{mod-}A, 1 \leq i \leq \dots \leq n)$$

$$\Delta_\lambda = S_\lambda$$

$$(\text{mod-}A, n \leq i \leq 1)$$

$$\Delta_\lambda = P_\lambda$$

$$\mathcal{F}(\Delta_\lambda) = \text{mod-}A \quad \text{or} \quad \mathcal{F}(\Delta_\lambda) = \text{Proj}(A)$$

$$1 \xleftarrow[b]{a} 2 \quad ba = 0$$

$$P_1 = \begin{array}{c} 1 \\ \downarrow b \\ 2 \\ \downarrow a \\ 1 \end{array} \quad \Delta_1 = \begin{array}{c} 1 \\ \downarrow b \\ 2 \\ \downarrow a \\ 1 \end{array}$$

$$P_2 = \begin{array}{c} 2 \\ \downarrow a \\ 1 \end{array} \quad \Delta_2 = \begin{array}{c} 2 \\ \downarrow a \\ 1 \end{array}$$

$$\mathcal{F}(\Delta) = \text{add}(\Delta_1, \Delta_2, P_1)$$

$$S: \quad \Delta_2 \rightarrow P_1 \rightarrow S_1$$

$X$ -normal CM surface  $\omega_X$ -dualizing sheaf

$F \in \text{Coh}(X)$  maximal Cohen-Macaulay  $\text{Ext}^{>0}(F, \omega_X) = 0 \Rightarrow \text{CM}(X) \subset \text{Coh}(X)$   
fully exact abelian.

$F \in \text{CM}(X) \Leftrightarrow F$  is reflexive  $F \xrightarrow{\cong} F^{**}$   $F^* = \mathcal{H}\text{om}(F, \mathcal{O}_X)$

Canonical exact structure on  $\text{CM}(X)$ .

$$f: F_0 \rightarrow F_1 \quad F_0, F_1 \in \text{CM}(X)$$

$$K = \ker f \quad K \in \text{CM}(X) \quad K \rightarrow F_0 \xrightarrow{f} F_1 \quad \text{kernel in } \text{CM}(X)$$

$$Q = (\text{coker } f)^{**} \in \text{CM}(X) \quad F_0 \rightarrow F_1 \rightarrow \text{coker } f \rightarrow Q \quad \text{cokernel in } \text{CM}(X)$$

A additive category with kernels and cokernels

$$f: A \rightarrow A' \text{ is strict if } \text{coker}(\ker f) \xrightarrow{\cong} \ker(\text{coker } f)$$

A morphism  $f: F_1 \rightarrow F_2$  in  $\text{CM}(X)$  is a strict monomorphism

$\Leftrightarrow f$  is a monomorphism in  $\text{Coh}(X)$  with torsion-free cokernel.

$$\text{coker}(\ker f) = \text{coker}(0 \rightarrow F_1) = F_1$$

$$\ker(\text{coker } f) = \ker(F_2 \rightarrow (\text{coker } f)^{**}) = F_1 \hookrightarrow T = 0$$

$$\begin{array}{ccccccc} & & T & \rightarrow & 0 & & \\ & & \uparrow & & & & \\ & & T & \rightarrow & \text{coker } f & \rightarrow & \text{coker } f^{**} \\ & & \uparrow & - & \uparrow & - & \uparrow \\ 0 & \rightarrow & 0 & \rightarrow & F_2 & \rightarrow & F_1 \rightarrow 0 \\ & & \uparrow & & \uparrow & & \uparrow \\ & & 0 & \rightarrow & F_1 & \rightarrow & \ker(\text{coker } f) \end{array}$$

A morphism  $f: F_1 \rightarrow F_2$  in  $\text{CM}(X)$  is a strict epimorphism

$\Leftrightarrow$  the cokernel of  $f$  in  $\text{Coh}(X)$  is an Artinian sheaf.

$$f: F_1 \rightarrow F_2 \text{ epi} \Leftrightarrow (\text{coker } f)^{**} = 0 \Leftrightarrow \text{coker } f \text{-torsion}$$

$$\text{coker}(\ker f) = \text{coker}(K \rightarrow F_1) = (\text{Im } f)^{**}$$

$$\ker(\text{coker } f) = \ker(F_2 \rightarrow 0) = F_2$$

$$\begin{array}{ccc} & \text{coker}(f) \rightarrow Q \rightarrow 0 & (\text{Im } f)^{**} \cong F_2 \quad (= C \cong \text{coker}(f)) \\ \text{coker}(f) \rightarrow Q \rightarrow 0 & \downarrow & \Downarrow \\ 0 \rightarrow F_2 \rightarrow F_2 \rightarrow 0 \rightarrow 0 & & \\ \text{coker}(f) \rightarrow Q \rightarrow 0 & & \\ 0 \rightarrow \text{Im}(f) \rightarrow \text{Im}(f)^{**} \rightarrow C \rightarrow 0 & & \text{coker}(f) \text{ is Artinian} \\ \text{coker}(f) \rightarrow Q \rightarrow 0 & & (\text{every cotorision is}) \\ 0 \rightarrow \text{Im}(f) \rightarrow F_2 \rightarrow \text{coker}(f) \rightarrow 0 & & \\ 0 \rightarrow F_2^{**} \xrightarrow{\cong} \text{Im}(f)^* \rightarrow 0 \Rightarrow (\text{Im } f)^{**} \cong F_2^{**} \cong F_2 & & \end{array}$$

A-additive category with kernels and cokernels.

A is quasi-abelian if

- pull-back of a strict epimorphism is a strict epimorphism
- push-out of a  $\rightarrowtail$  monomorphism  $\rightarrowtail$  mono

$X$ -normal CM surface. The category  $\text{CM}(X)$  is quasi-abelian.

A-quasi-abelian  $\Rightarrow$  A has canonical exact structure

$$S: A' \xrightarrow{i} A \xrightarrow{d} A''$$

$i = \ker d$  - strict mono

$d = \text{coker } i$  - strict epi

Cor:  $X$ -normal CM surface.  $\text{CM}(X)$  has exact structure with completions

$$F_1 \xrightarrow{f} F \xrightarrow{g} F_2$$

such that  $0 \rightarrow F_1 \xrightarrow{f} F \xrightarrow{g} F_2 \rightarrow Q \rightarrow 0$  is exact in  $\text{Coh}(X)$  with

$\mathbb{Q}$ -Artinian.

Example:  $\mathbb{C}[x,y] \otimes \mathbb{Z}_n \quad (\leq a \leq n \quad (n,a)=1)$

$$\frac{1}{n}(1,a) \quad \beta \cdot x = \varepsilon x \quad \beta \cdot y = \varepsilon^a y \quad \varepsilon - n^{\text{th}} \text{ primitive root of unity}$$

$\beta \in \mathbb{Z}_n$  generator

$$R_i = \{f \in \mathbb{C}[x,y] \mid \beta f = \varepsilon^i f\}$$

$\mathbb{C}[x,y] = R_0 \oplus R_1 \oplus \dots \oplus R_{n-1}$  - all isomorphism classes of indecomposable modules in  $\text{CM}(R_0)$ .

$$\frac{1}{2}(1,-1) \quad R_0 = \langle 1, x^2, xy, y^2, \dots \rangle \quad R_i = \langle x, y, x^3, \dots \rangle$$

$$R_0 \xrightarrow{(-y)} R_1 \oplus R_1 \xrightarrow{(xy)} R_0 \quad \text{confusion in the canonical exact str.}$$

### § Abelian envelopes of exact categories

$\mathcal{E}, \mathcal{E}'$  - exact categories  $F: \mathcal{E} \rightarrow \mathcal{E}'$  additive functor

$F$  is exact if  $\forall$  confl.  $x \rightarrow y \rightarrow z$ ,  $F(x) \rightarrow F(y) \rightarrow F(z)$  is a confl.

$F$  is right exact if  $\forall$  confl.  $x \xrightarrow{i} y \xrightarrow{d} z$   $F(d)$  - deflation

$$F(i) = i \circ d \quad i' = \text{ker } F(d)$$

$$\begin{array}{ccc} x & \xrightarrow{i} & F(y) & \xrightarrow{F(d)} & F(z) \\ \text{deflation} & \rightarrow \sim d & \nwarrow & \nearrow F(i) & \end{array}$$

$F$  is left exact if  $F^{\text{op}}: \mathcal{E}^{\text{op}} \rightarrow \mathcal{E}'^{\text{op}}$  is right exact

If  $\mathcal{E}' \cong \mathcal{A}$  is abelian  $F$  exact  $\Leftrightarrow 0 \rightarrow F(x) \rightarrow F(y) \rightarrow F(z) \rightarrow 0$

$F$  right exact  $\Leftrightarrow F(x) \rightarrow F(y) \rightarrow F(z) \rightarrow 0$

$F$  left exact  $\Leftrightarrow 0 \rightarrow F(x) \rightarrow F(y) \rightarrow F(z)$

are exact

$\text{Rex}(\mathcal{E}, \mathcal{E}')$  - category of right exact functors and natural transformations

The right abelian envelope of an exact category  $\mathcal{E}$  is an abelian category  $A_r(\mathcal{E})$  and right exact functor  $i_r: \mathcal{E} \rightarrow A_r(\mathcal{E})$  which induces an equivalence

$$\text{Rex}(A_r(\mathcal{E}), \mathcal{B}) \xrightarrow{(-) \circ i_r} \text{Rex}(\mathcal{E}, \mathcal{B})$$

for any abelian category  $\mathcal{B}$ .

$$\begin{array}{ccc} \mathcal{E} & \xrightarrow{i_r} & A_r(\mathcal{E}) \\ F \downarrow & \lrcorner & \lrcorner \quad \overline{F} \\ \mathcal{B} & & \end{array}$$

Left abelian envelope  $i_l: \mathcal{E} \rightarrow A_l(\mathcal{E})$   $\text{Lex}(A_l(\mathcal{E}), \mathcal{B}) \simeq \text{Lex}(\mathcal{E}, \mathcal{B})$

$$A_l(\mathcal{E}^\text{op}) \simeq (A_r(\mathcal{E}))^\text{op}$$

Abelian hull  $A(\mathcal{E})$  - universal for exact functors

[Adelman-Stein] The abelian hull  $A(\mathcal{E})$  always exists.

Construction:  $\mathcal{E}$  - additive Adelman category  $\text{Adell}(\mathcal{E})$

Objects:  $E_0 \xrightarrow{e_0} E_1 \xrightarrow{e_1} E_2$

Morphisms  $E_0 \xrightarrow{e_0} E_1 \xrightarrow{e_1} E_2$   
 $(f_0, f_1, f_2): f_0 \uparrow G, f_1 \uparrow G, f_2 \uparrow G$   
 $D_0 \xrightarrow{d_0} D_1 \xrightarrow{d_1} D_2$

$/\sim$

$$(f_0, f_1, f_2) \sim (g_0, g_1, g_2) \iff \exists \begin{array}{c} E_0 \xrightarrow{e_0} E_1 \\ \uparrow h_1 \quad \uparrow h_2 \\ D_1 \xrightarrow{d_1} D_2 \end{array} \quad f_0 - g_0 = e_0 h_1 + e_1 d_1$$

Adelman '73:  $\text{Adell}(\mathcal{E})$  is abelian. It is the abelian hull of  $\mathcal{E}$  with split exact structure.

$$A(\mathcal{E}) = \text{Adell}(\mathcal{E}) / C$$

$C$ -minimal Serre subcategory containing

$$Y \xrightarrow{d} Z \rightarrow 0 \quad X \xrightarrow{i} Y \xrightarrow{d} Z \quad 0 \rightarrow X \xrightarrow{i} Y$$

for any completion  $X \xrightarrow{i} Y \xrightarrow{d} Z$

Adelman:  $A(\mathcal{E})$  - abelian hull of  $\mathcal{E}$

Stein:  $\mathcal{E} \rightarrow A(\mathcal{E})$  exact, fully faithful, detects exactness

Assume  $A_r(\mathcal{E})$  exists.

$A_r(\mathcal{E}) \subset \text{Lex}(\mathcal{E}^{\text{op}}, \text{Ab})$  fully exact subcategory

$i_r: \mathcal{E} \hookrightarrow A_r(\mathcal{E})$  faithful and right exact

$i_r$ -full  $\Rightarrow i_r$ -exact and detects exactness.

$$\begin{array}{c} \text{Hom}(-, E) \rightarrow \text{Hom}(-, E_1) \rightarrow F_2 \rightarrow 0 \\ \uparrow \quad \downarrow \\ \text{Hom}(-, E_1) \rightarrow \text{Hom}(-, E_1) \rightarrow F_1 \rightarrow 0 \end{array}$$

Examples:

(1)  $A$  - additive category with weak kernels and split exact structure

$$\begin{array}{ccc} X' & \xrightarrow{g} & Y \\ \downarrow & \downarrow & \downarrow \\ K & \xrightarrow{i} & X \xrightarrow{f} Y \end{array} \quad \begin{array}{l} f_i = 0 \\ \forall g \quad fg = 0 \quad \exists j \quad g = ij \end{array}$$

$$f_p(A^{\text{op}}, \text{Ab}) = A_r(\mathcal{E})$$

$$F \in f_p(A^{\text{op}}, \text{Ab}) \Leftrightarrow \text{Hom}(-, A_1) \rightarrow \text{Hom}(-, A_2) \rightarrow F(-) \rightarrow 0$$

(2)  $\mathcal{E} \subset A$  fully exact subcategory of an abelian category

- $\mathcal{E}$  is closed under kernels of epimorphisms

- Any object of  $A$  is a quotient of an object of  $\mathcal{E}$

[Kashiwara-Schapira]:  $\mathcal{E} \hookrightarrow A$  is the right abelian envelope.

$X$  - scheme with enough locally free sheaves

$$\text{coh}(X) = A_r(\text{Bun}(X))$$

$$(A, \Lambda) - \text{highest weight } A_r(\underline{\mathcal{F}(\Delta)}) = A$$

(3)  $\mathcal{E}$  - quasi-abelian with canonical exact structure

Schneiders:  $\mathcal{E}$  has the right and the left abelian envelopes

hearts of  $t$ -structures on  $\overset{\circ}{\mathcal{D}}(\mathcal{E})$ .

## § Monad description

$\text{Ex}_r$  - exact categories which have the right abelian envelope

+ right exact functors + natural transformations

$\text{Ab}_r$  - abelian categories + right exact functors + natural transformations

There exist functor  $A_r: \text{Ex}_r \rightarrow \text{Ex}_r$ ,

natural transformation:  $j: \text{Id} \rightarrow A_r$ ,

$A_r$ -idempotent  $\mu: A_r^2 \xrightarrow{\cong} A_r$

$(A_r, j, \mu)$  - monad

$\text{Ab}_r$  - the category of algebras over  $(A_r, j, \mu)$

## § Highest weight categories as abelian envelopes of thin categories

Thin categories: exact categories with a 'full exceptional collection'!

Torsion pair on  $\mathcal{E}$ :  $(\mathcal{T}, \mathcal{F})$

•  $\mathcal{T} \subseteq \mathcal{E}$ ,  $\mathcal{F} \subseteq \mathcal{E}$  full, closed under extensions

•  $\text{Hom}(T, F) = 0 \quad \forall T \in \mathcal{T} \quad F \in \mathcal{F}$

•  $\forall E \in \mathcal{E} \quad \exists \text{ conf} \quad \begin{array}{c} T \rightarrow E \rightarrow F \\ \downarrow \quad \uparrow \\ \mathcal{T} \quad \mathcal{F} \end{array}$   
no nosplit conf  $F \rightarrow E \rightarrow T$

$(\mathcal{T}, \mathcal{F})$  is a perpendicular torsion pair if  $\underline{\text{Ext}}^1(T, F) = 0$  for  $T \in \mathcal{T}, F \in \mathcal{F}$ .

Thm: If  $(\mathcal{T}, \mathcal{F})$  is a perpendicular torsion pair on an exact category  $\mathcal{E}$

then  $D^b(\mathcal{E})$  has a semi-orthogonal decomposition  $\langle D^b(\mathcal{F}), D^b(\mathcal{T}) \rangle$

$(\mathcal{T}, \mathcal{F})$ -torsion pair  $\Rightarrow i: \mathcal{T} \rightarrow \mathcal{E}$  has right adjoint  $i^!: \mathcal{E} \rightarrow \mathcal{T}$   
 $j: \mathcal{F} \rightarrow \mathcal{E}$  has left adjoint  $j^*: \mathcal{F} \rightarrow \mathcal{T}$

Thm:  $(\mathcal{T}, \mathcal{F})$  perpendicular torsion pair  $\Leftrightarrow i^!$  is exact  $\Leftrightarrow \delta^*$  is exact.

$\mathcal{T} \subset \mathcal{E}$  right admissible subcategory if  $\mathcal{T}$  is a torsion part of a perpendicular torsion pair  $(\mathcal{T}, \mathcal{F}) \Leftrightarrow \exists i^! : \mathcal{E} \rightarrow \mathcal{T}$  and  $i^! \rightarrow \text{Id}$  is an inflation when applied to any  $E \in \mathcal{E}$ .

$$\mathcal{T}^\perp = \{E \in \mathcal{E} \mid \text{Hom}(\mathcal{T}, E) = 0 = \text{Ext}^1(\mathcal{T}, E)\}$$

$\mathcal{E}$  k-linear exact category

is a thin category if  $\exists 0 = \mathcal{T}_0 \subset \mathcal{T}_1 \subset \dots \subset \mathcal{T}_n \cong \mathcal{E}$   $\mathcal{T}_i \subset \mathcal{E}$  right admissible

$$\mathcal{T}_i^\perp \cap \mathcal{T}_{i+1} \cong \text{k-mod}$$

$$E_i$$

Thm:  $(A, \Lambda)$ -highest weight category  $\Rightarrow \mathcal{F}(\Delta), \mathcal{F}(\nabla)$  are thin  $A = A_r(\mathcal{F}(\Delta)) = A_\nu(\mathcal{F}(\nabla))$   
 $\mathcal{E}$ -thin category  $\Rightarrow (A_r(\mathcal{E}), \Lambda^{\text{op}}), (A_\nu(\mathcal{E}), \Lambda)$  are Ringel dual highest weight categories.

Ringel duality for thin:  $\mathcal{E} \cong \mathcal{F}(\Delta) \hookrightarrow A_r(\mathcal{E})$   $\text{RD}(\mathcal{E}) = \mathcal{F}(\nabla) \cap A_r(\mathcal{E})$   
 $\text{BD}(\mathcal{E}) = A_\nu(\mathcal{E}) \cap S^{-1} A_r(\mathcal{E})$

$\Lambda$ -canonical poset of  $\mathcal{E}$  minimal s.t.  $\text{Hom}(E_i, E_j) \neq 0$  or  $\text{Ext}^1(E_i, E_j) \neq 0$   
 $\rightarrow i \leq j$

### § Right abelian envelope of $\text{MCM}(X)$

$X$ -normal CM surface

$\mathcal{T}_0(X) \subset \text{Coh}(X)$  Serre subcategory of sheaves with 0-dimensional support.

Thm:  $\text{Coh}(X)/\mathcal{T}_0(X)$  is the right abelian envelope of  $\text{CM}(X)$ .

Calabrese-Pirisi:  $X_{\geq 1} \subset X$  subset of points of dimension  $\geq 1$ .

$X, Y$ -schemes of finite type over  $k$ .  $\text{Coh}(X)/\mathcal{T}_0(X) \cong \text{Coh}(Y)/\mathcal{T}_0(Y) \Leftrightarrow X_{\geq 1} \cong Y_{\geq 1}$

Abstract point of view:

$S \subseteq A$  Serre subcategory

$$S = \mathcal{T}_o(X)$$

$\mathcal{E} \subseteq A = \{A \in A \mid \text{Hom}(S, A) = 0 = \text{Ext}^1(S, A)\}$  - the category of  $S$ -closed objects  $\mathcal{E} = CM(X)$

$\mathcal{T} \subseteq A$   $\mathcal{T} = \{T \in A \mid \text{Hom}(T, \mathcal{E}) = 0\}$

$$\mathcal{T} = \mathcal{T}_t(X) - \text{torsion sheaves}$$

Assume that

$$\mathcal{T} = \mathcal{T}_f(X) - \text{torsion-free sheaves}$$

(1)  $(\mathcal{T}, \mathcal{F})$  is a torsion pair in  $A$ .

(2) Any  $F \in \mathcal{F}$  fits into a short exact sequence  $0 \rightarrow F \rightarrow E \rightarrow S \rightarrow 0$

$$E \in \mathcal{E} \quad S \in S$$

$$0 \rightarrow F \rightarrow F^{**} \rightarrow \text{coker}(F) \xrightarrow{\eta} \mathcal{T}(X) \rightarrow 0$$

(3) Any object of  $A$  is a quotient of an object in  $\mathcal{F}$

Then  $A/S$  has a torsion pair  $(q\mathcal{T}, \mathcal{E})$  and  $A/S \cong A_r(\mathcal{E})$ .

$$q: A \rightarrow A/S$$