

# QUANTUM SYMMETRIES THROUGH THE LENS OF LINEAR ALGEBRA

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## Color Codes:

- + Known results / Recall
- + Today's heroes
- + Things to be done / obstructions
- + Else - to get your attention!

## Outline :

- (I) History & Motivation
- (II) Main Results & Examples
- (III) Questions & Answers (?)

### (I) Motivation :

- 1) McKay Correspondence (1980)
  - Finite group  $G \leq \mathrm{SU}_2$  ( $2 \times 2$  unitary complex matrices of  $\det 1$ )
  - Simple  $G$ -modules  $S_1, S_2, \dots, S_m$
  - $V = \mathbb{C}^2$
  - $S_i \otimes V = \sum_{j=1}^m M_{ij} S_j$

$\rightsquigarrow M_V = (M_{ij})_{m \times m}$  McKay matrix for tensoring with  $V$ .

$\rightsquigarrow$  McKay quiver has nodes  $1, 2, \dots, m$

$M_{ij}$  arrows from node  $i$  to node  $j$

[McKay]:  $\hat{C} = 2I - M_V$  is an affine Cartan matrix of type  $A, D, E$

$$\underline{s} = \begin{bmatrix} \dim S_1 \\ \dim S_2 \\ \vdots \\ \dim S_m \end{bmatrix}, \quad M_V \cdot \underline{s} = 2 \cdot \underline{s} \quad \text{and} \quad \hat{C} \cdot \underline{s} = (2I - M_V) \cdot \underline{s} = \underline{0}.$$

$\downarrow \dim V$

[McKay '1980]: McKay quivers over  $G \leq \mathrm{SL}_2$   $\xleftrightarrow[\text{I-I}]{\text{McKay corr.}}$  affine Dynkin diagrams of type  $A, D, E$

$$\begin{array}{ccc} \mathbb{Z}_n & \longleftrightarrow & \tilde{A}_{n-1} \\ \mathbb{D}_n & \longleftrightarrow & \tilde{D}_{n+2} \\ \mathbb{T} & \longleftrightarrow & \tilde{E}_6 \\ \mathbb{O} & \longleftrightarrow & \tilde{E}_7 \\ \mathbb{I} & \longleftrightarrow & \tilde{E}_8 \end{array}$$

2) [Steinberg '1985]:  $G$  any group over  $\mathbb{C}$  (char.  $\mathbb{k} = 0$ )

$\checkmark$  any f.d. faithful complex  $G$ -module with character  $\chi_V$

$\downarrow$  the only element of  $G$  that acts as the identity on  $V$  is the identity of the group

$\chi_1, \chi_2, \dots, \chi_m$  characters of the simple  $G$ -modules  $S_1, \dots, S_m$

$$S_i \otimes V = \sum_{j=1}^m M_{ij} S_j \rightsquigarrow \text{McKay matrix } M_V = (M_{ij})$$

(adjacency matrix of McKay quiver).

$$M_V \cdot \underline{s} = (\dim V) \cdot \underline{s} = \chi_V(1) \cdot \underline{s} \quad \text{for } \underline{s} = \begin{bmatrix} \dim S_1 \\ \dim S_2 \\ \vdots \\ \dim S_m \end{bmatrix}$$

Moreover,  $M_V \cdot \begin{bmatrix} \chi_1(g) \\ \chi_2(g) \\ \vdots \\ \chi_m(g) \end{bmatrix} = \chi_V(g) \cdot \begin{bmatrix} \chi_1(g) \\ \chi_2(g) \\ \vdots \\ \chi_m(g) \end{bmatrix} \quad \forall g \in G$

⇒ Columns of the character table are right eigenvectors of  $M_V$ .

- Conjugacy class representatives give a complete set of right eigenvectors.

⇒ Relationship between the McKay matrix and the character table.

3) [Ginberg - Huang - Reiner '20] : in char.  $p > 0$

Columns of the Brauer character table of  $G$  are right eigenvectors of  $M_V$ , with eigenvalues  $\chi_V(g_j)$  where  $g_j$  are conjugacy class representatives of  $G$  of order  $p'$  relatively prime to  $p$ .

- $M_V \cdot \underline{s} = (\dim V) \cdot \underline{s} = \text{tr}_V(1) \cdot \underline{s} \quad \text{for } \underline{s} = \begin{bmatrix} \dim S_1 \\ \dim S_2 \\ \vdots \\ \dim S_m \end{bmatrix}$   
right eigenvector
- $P \cdot M_V = (\dim V) \cdot P = \text{tr}_V(1) \cdot P \quad \text{for } P = [\dim P_1 \quad \dim P_2 \dots \dim P_m]$   
left eigenvector

4) [Benkart - Diaconis - Liebeck - Tiep '20] : McKay matrices and quivers determine interesting Markov chains.

⇒ McKay matrices in other worlds ?

**GOAL:** Study McKay matrices for any f.d. Hopf algebras.

$\hookrightarrow H$   $\mathbb{k}$ -vector space

bialgebra  $\begin{cases} \cdot \text{ algebra } (m: H \otimes H \rightarrow H, u: \mathbb{k} \rightarrow H) \\ \cdot \text{ coalgebra } (\Delta: H \rightarrow H \otimes H, \varepsilon: H \rightarrow \mathbb{k}) \\ \cdot \text{ antipode } S: H \rightarrow H \end{cases}$

ex:  $\mathbb{k}G, \mathbb{k}[x_1, x_2, \dots, x_n], \mathfrak{u}^{\text{fg}}$

$\nwarrow$  Lie algebra of

## II Main Findings: [BBKNZ '21]

- Let  $H$  be a f.d. Hopf algebra over a field  $\mathbb{k}$  ( $\mathbb{k} = \overline{\mathbb{k}}$ , char.  $\mathbb{k} = 0$ )
- $\checkmark$  any f.d.  $H$ -module with character  $\text{tr}_V$  (trace)  $\text{tr}_V(1) = \dim V$
- $S_1, S_2, \dots, S_m$  simple  $H$ -modules
- $P_i$  = projective cover of  $S_i$

$\rightsquigarrow$  Problem:  $S_i \otimes V$  may NOT be completely reducible ( $H$  is not nec. semisimple)!

- Let  $M_{ij} = [S_i \otimes V : S_j]$  multiplicity of  $S_j$  as a composition factor of  $S_i \otimes V$ .

In the Grothendieck ring:  $[S_i] \cdot [V] = \sum_{j=1}^m M_{ij} [S_j]$

- McKay matrix  $M_V = (M_{ij})_{m \times n} \rightsquigarrow$  McKay quiver

### 1) McKay matrices for Hopf algebras:

• coproduct of  $H$ :  $\Delta(h) = \sum_h h_{(1)} \otimes h_{(2)}$   $\in H \otimes H$ ,  $\forall h \in H$

•  $U, V$  are  $H$ -modules,  $h \cdot (u \otimes v) = \sum_h h_{(1)} \cdot u \otimes h_{(2)} \cdot v \rightsquigarrow U \otimes V$  is again an  $H$ -module

$\rightsquigarrow$  take traces:  $\sum_h \text{tr}_{S_i}(h_{(1)}) \cdot \text{tr}_V(h_{(2)}) = \text{tr}_{S_i \otimes V}(h) = \sum_{j=1}^m M_{ij} \cdot \text{tr}_{S_j}(h)$

- Define  $\text{Tr}_S(h) := [\text{tr}_{S_1}(h) \quad \text{tr}_{S_2}(h) \quad \dots \quad \text{tr}_{S_m}(h)]^T$

$$\Rightarrow M_V \cdot \text{Tr}_S(h) = \sum_n \text{tr}_V(h_{(2)}). \text{Tr}_S(h_{(1)})$$

- right eigenvectors*  $\left\{ \begin{array}{l} \cdot \text{ If } h = \text{grouplike element } g: M_V \cdot \text{Tr}_S(g) = \text{tr}_V(g) \cdot \text{Tr}_S(g) \rightsquigarrow \text{Tr}_S(g) \text{ is an eigenvector of } M_V \\ (\Delta(g) = g \otimes g) \\ \cdot \text{ If } h = 1: \text{Tr}_S(1) = \underline{s} \text{ and } M_V \cdot \underline{s} = \text{tr}_V(1) \cdot \underline{s} = (\dim V) \cdot \underline{s}. \rightsquigarrow \text{Recover [GHR'20]} \end{array} \right.$

### Projective and left eigenvectors:

let  $Q_V := (Q_{ij})$  where  $Q_{ij} = \underbrace{[P_i \otimes V : P_j]}_{\text{projective}}$  projective McKay matrix

$$\Rightarrow \sum_n \text{tr}_{P_i}(h_{(1)}). \text{tr}_V(h_{(2)}) = \text{tr}_{P_i \otimes V}(h) = \sum_{j=1}^m Q_{ij} \cdot \text{tr}_{P_j}(h)$$

$$\sum_n \text{tr}_V(h_{(2)}). \text{tr}_{P_i}(h_{(1)}) = \sum_{j=1}^m \text{tr}_{P_j}(x) \cdot (Q_V^T)_{ji}$$

- Define  $\text{Tr}_P(h) := [\text{tr}_{P_1}(h) \quad \text{tr}_{P_2}(h) \quad \dots \quad \text{tr}_{P_m}(h)]$

$$\Rightarrow \text{Tr}_P(h) \cdot Q_V^T = \sum_n \text{tr}_V(h_{(2)}). \text{Tr}_P(h_{(1)}) \quad (*)$$

- left eigenvectors*  $\left\{ \begin{array}{l} \cdot \text{ For } h = g \text{ grouplike: } \text{Tr}_P(g) \cdot Q_V^T = \text{tr}_V(g) \cdot \text{Tr}_P(g) \\ \cdot \text{ For } h = 1: \underline{P} \cdot Q_V^T = \text{tr}_V(1) \underline{P}. \quad \text{BUT } Q_V^T \text{ is not quite } M_V! \end{array} \right.$

- Theorem (BBKNZ):  $Q_V^T = M_V^*$ .

$$V^* = \text{Hom}_{\mathbb{K}}(V, \mathbb{K})$$

$\rightsquigarrow$  If  $V \cong V^*$  then  $(*)$  gives us left eigenvectors of the McKay matrix  $M_V$ .

$\rightsquigarrow$  When  $H$  is semisimple,  $M_V = Q_V$ . In addition, if  $V$  is self-dual, then  $M_V$  is orthogonally diagonalizable.

[BDLT'20]

Example: Small quantum group  $U_q(sl_2) = \mathbb{C} \langle K^{\pm 1}, E, F \rangle$

$q = \text{primitive } n^{\text{th}} \text{ root of 1, } n \text{ odd, } n \geq 3$

$n^3\text{-dim}'$

$$K^n = 1,$$

$$KEK^{-1} = q^2 E,$$

$$KFK^{-1} = q^{-2} F,$$

(BBKNZ: Done for Drinfeld double  $D_n$  of Taft algebra.)

-  $n$  simple modules  $V_0, V_1, \dots, V_{n-2}, V_{n-1}$

$$\dim V_r = r+1$$

- projective covers  $P_0, P_1, \dots, P_{n-2}, \underbrace{V_{n-1}}_{\dim 2n}$

[Chari-Premet' 1994]

both simple and projective

$$\dim V_{n-1} = n$$

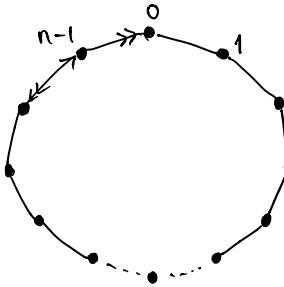
-  $V = V_1 \quad (\dim V = 2)$

- McKay matrix

$$M_V = \begin{pmatrix} 0 & 1 & 0 & \cdots & 0 \\ 1 & 0 & 1 & & 0 \\ 0 & 1 & 0 & 1 & 0 \\ \vdots & & & \ddots & \vdots \\ 0 & \cdots & 0 & 1 & 0 \\ 2 & 0 & \cdots & 0 & 2 \end{pmatrix}_{n \times n}$$

2 copies of  $V_0$       2 copies of  $V_{n-2}$

McKay quiver



characteristic roots  $q^j + q^{-j}$ ,  $0 \leq j \leq n-1 \rightsquigarrow$  only  $\frac{1}{2}(n+1)$  distinct eigenvalues

- Eigenvectors for  $U_q(sl_2)$ :

Chebyshev polynomials  
of 2<sup>nd</sup> kind:

$$\left[ \begin{array}{ll} U_0(t) = 1, & U_1(t) = t, \\ U_r(t) = t \cdot U_{r-1}(t) - U_{r-2}(t), & r \geq 2 \end{array} \right]$$

Let  $e_j := [U_0 \ U_1 \ \dots \ U_{n-1}]^T$  where  $U_r = U_r(q^j + q^{-j})$

$\Rightarrow e_j$  is a right eigenvector for  $M_V$ ,  $V = V_1$  with eigenvalue  $q^j + q^{-j}$ ,  $0 \leq j \leq \frac{n-1}{2}$

$$\text{Indeed, } U_r(x+x^{-1}) = x^r + x^{r-2} + x^{r-4} + \dots + x^{-(r-2)} + x^{-r}$$

•  $U_r(q^j + q^{-j}) = \text{tr}_{V_r}(K^j) \rightarrow e_j = \text{Tr}_S(K^j)$  trace vector of the grouplikes  $K^j$

$$(j=0) \cdot U_r(q^0 + q^{-0}) = r+1 = \dim(V_r) \Rightarrow e_0 = \text{Tr}_S(1) = \underline{1}$$

$\rightsquigarrow$  Not enough eigenvectors! ( eigenvalues are repeated,  $q^j + q^{-j}$  )  
 $q^j + q^{-j}$ , for  $j \neq 0$ , correspond to  $2 \times 2$  Jordan blocks! ( did NOT occur in group case )

- Let  $\mathcal{L}_0(t) = 2$ ,  $\mathcal{L}_1(t) = t$ ,  $\mathcal{L}_r(t) = t\mathcal{L}_{r-1}(t) - \mathcal{L}_{r-2}(t)$ ,  $r \geq 2$   
modified Chebyshev  $\nearrow$

Prop: •  $\mathcal{L}_r(t) = U_r(t) - U_{r-2}(t)$ ,  $r \geq 2$ ,  
and •  $\mathcal{L}_r(x+x^{-1}) = x^r + x^{-r}$ ,  $\forall r \geq 0$ .

Let  $f_j := [\mathcal{L}_{n-1} \ \mathcal{L}_{n-2} \ \dots \ \mathcal{L}_1 \ 1]$  where  $\mathcal{L}_r = \mathcal{L}_r(q^j + q^{-j})$ ,  $r \geq 1$

$\Rightarrow f_j$  is a left eigenvector for  $M_V$ ,  $V = V_1$  with eigenvalue  $q^j + q^{-j}$ ,  $0 \leq j \leq \frac{n-1}{2}$

$$f_0 = [2 \ 2 \ \dots \ 2 \ 1] = \frac{1}{n} \left[ \underbrace{2n \ 2n \ \dots \ 2n}_{\dim P_i} \ n \right] = \frac{1}{n} \cdot P$$

$\rightsquigarrow$  Since every simple module  $V_r$  is a polynomial in  $V_1$  and  $V_0$ , these are eigenvectors for  $M_V$  for any finite dim'!  $U_q(sl_2)$ -module  $V$ .

// Example  
 $U_q(sl_2)$



## 2) Fusion matrix $N_V$ for f.d. Hopf algebra $H$ :

- $S_1, S_2, \dots, S_m$  simples and  $P_i$  projective covers of  $S_i$
- Cartan map:  $\underset{\cong}{[P_i]} \xrightarrow{c} \sum_{j=1}^m [P_i : S_j] [S_j] \in G_0$   
projective Grothendieck group  $K_0$
- Cartan matrix  $C = (C_{ij})$  where  $C_{ij} = [P_i : S_j]$
- $r := \text{rank}(C)$
- [Cohen-Westreich 2008]: Assume  $H$  is a quasitriangular ribbon Hopf alg.

There is a subset  $\tilde{\Phi} = \{\tilde{P}_1, \tilde{P}_2, \dots, \tilde{P}_r\}$  of the projective covers so that if

$$N_V = (N_{ij})_{r \times r} \text{ where } N_{ij} = [\tilde{P}_i \otimes V : \tilde{P}_j], \text{ then}$$

- $N_V$  is diagonalizable for any simple  $H$ -module  $V$ .
- The eigenvectors don't depend on  $V$ .
- There is a "Verlinde formula", ie.,  $\exists$  matrix  $F$  and scalars  $d_0, d_1, \dots, d_{r-1}$

so that  $F^T N_V F = \text{diag} \{ \tilde{s}_1^{-1} d_1, \tilde{s}_2^{-1} d_2, \dots, \tilde{s}_r^{-1} d_r \}$ , where  $\tilde{s}_i = \dim \tilde{S}_i$   
corresp. simples

### (Motivation:)

- Verlinde's paper (1988) on diagonalizing fusion rules for 2D rational conformal field theory.  
 $N_V$  is related to the matrix Verlinde used ↗

- Back to  $U_q(\mathfrak{sl}_2)$ :  $(n \geq 3 \text{ odd})$

$$c(P_e) = c(P_{n-2-e})$$

$$\Phi: \left\{ V_{n-1}, P_0, P_1, \dots, P_{\frac{n-3}{2}} \right\}$$

$\downarrow$        $\downarrow$        $\vdots$        $\downarrow$   
 $P_{n-2}, P_{n-3}, \dots, P_{\frac{n-1}{2}}$

$$V = V_1$$

$$N_V = \begin{pmatrix} V_{n-1} & P_0 & P_1 & & & \\ 0 & 1 & 0 & \cdots & 0 & \\ 2 & 0 & 1 & & 0 & \\ 0 & 1 & 0 & 1 & \cdots & 0 \\ \vdots & & & \ddots & & \vdots \\ 0 & 0 & \cdots & 0 & 1 & 0 \\ 0 & 0 & \cdots & 0 & 0 & 1 \end{pmatrix}_{r \times r}$$

eigenvalues  $q^j + \bar{q}^j$ ,  $0 \leq j \leq \frac{n-1}{2}$   
same as for  $M_V$

For each  $q^j + \bar{q}^j$ :

- Right eigenvectors :  $[1, Y_1, \dots, Y_{\frac{n-1}{2}}]^T$ , where  $Y_k = Y_k(q^j + \bar{q}^j)$ ,
- Left eigenvectors :  $[Y_{\frac{n-1}{2}}, \dots, Y_1, 1]$ , where  $Y_k = Y_k(q^j + \bar{q}^j)$ ,

where  $Y_0(t) = 1$ ,  $Y_1(t) = t - 1$ ,  $Y_k = t Y_{k-1}(t) - Y_{k-2}(t)$ ,  $k \geq 2$ .

(Chebyshev polynomials of the 3<sup>rd</sup> kind)

↔ "Chebyshev polynomials are everywhere dense in numerical analysis!"

[BBKNZ]: works all out for  $D_n$ , Drinfeld double of the Taft algebra  $H_n$ ,  $n \geq 3$ ,  $n$  odd, and  $V$  is any 2-dim'l simple  $H$ -mod.

— For  $D_n$ , the right eigenvectors of  $M_V$  are trace vectors of simple mods evaluated at grouplikes.

↔ There exist Hopf algebras with NO grouplikes!

— For  $D_n$ , only SOME of the left eigenvectors of  $M_V$  are trace vectors of projective covers evaluated at grouplikes. ↔ do more to get more left eigenvectors!

- $D_n$ ,  $n$  odd, is a quasitriangular ribbon Hopf algebra  
 $[BBKNZ2]$  uses the R-matrix and ribbon element to get a representation  
 $\pi: TL_k(\xi) \longrightarrow \text{End}_{D_n}(V^{\otimes k})$   
of the Temperley-Lieb algebra  $TL_k(\xi)$  for  $\xi = -(q^{\frac{1}{2}} + q^{-\frac{1}{2}})$  on  $V^{\otimes k}$   
for  $V = \underline{\text{any}}$  2-dim'l  $D_n$ -simple module.

- $\pi$  is injective  $\forall k$  for the unique self-dual 2-dim'l simple  $D_n$ -mod  $V^+$
  - $TL_k(\xi) \cong \text{End}_{D_n}((V^+)^{\otimes k})$  for  $1 \leq k \leq 2n-2$ .
- proof use **diagrammatics** for  $TL_k(\xi)$

③ Questions: For a f.d. Hopf alg.  $H$  and  $V$  a f.d.  $H$ -module:

- 1) When is  $M_V$  diagonalizable?  
 $\hookrightarrow$  Not true for  $u_q(sl_2)$  and  $V = V_i$
- 2) When do  $M_V$  and  $N_V$  have the same eigenvalues?  
 $\hookrightarrow$  True for  $u_q(sl_2)$  and  $V = V_i$
- 3) What's a good notion of a "character table" for  $H$ ?  
 $\hookrightarrow$  [Witherspoon]: did for f.d. semisimple almost cocommutative Hopf algebras.
- 4) Is the character table related to eigenvectors of McKay matrices?  
 $\hookrightarrow$  True for  $H = kG$  group algebra.

THANK YOU! 